

Name of the Company : M/s UPASANA FINANCE LIMITED

Scrip Code - , Name of the Scrip - , Class of Security :Equity Shares

Quarter ended: 30/09/2013

Category Code (I)	Category of Shareholder (II)	No. of Share holders (III)	Total No. of shares (IV)	No. of shares held in Dematerialised Form (V)	Total No. of shareholding as a percentage of Total No. of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	No. of Shares (VIII)	As a percentage of (IX=VIII / IV)
A	SHAREHOLDING OF PROMOTER AND PROMOTER GROUP							
(1)	Indian							
a	Individual/Hindu Undivided Family	4	2541900	2541900	59.42	59.42	NIL	NIL
b	Central Government/ State Governments	-	-	-	-	-	-	-
c	Bodies Corporate	-	-	-	-	-	-	-
d	Financial Institutions / Banks	-	-	-	-	-	-	-
e	Any other (Specify) - INDIAN PROMOTERS - OTHERS	-	-	-	-	-	-	-
	Sub Total A(1)	4	2541900	2541900	59.42	59.42	NIL	NIL
(2)	Foreign							
a	Individual (Non resident Individuals / Foreign individuals)	1	458300	458300	10.71	10.71	NIL	NIL
b	Bodies Corporate	-	-	-	-	-	-	-
c	Institutions	-	-	-	-	-	-	-
d	Qualified Foreign Investor	-	-	-	-	-	-	-
e	Any other (Specify)	-	-	-	-	-	-	-
	Sub Total A(2)	1	458300	458300	10.71	10.71	NIL	NIL
	Total shareholding of Promoter and Promoter Group (A)= (A)(1) +(A)(2)	5	3000200	3000200	70.13	70.13	NIL	NIL
B	Public Shareholding						N.A.	N.A.
(1)	Institutions						N.A.	N.A.
a	Mutual Funds/ UTI	-	-	-	-	-	-	-
b	Financial Institutions / Banks	1	307200	307200	7.18	7.18		
c	Central Government/ State Governments	-	-	-	-	-	-	-
d	Venture capital Funds	-	-	-	-	-	-	-
e	Insurance Companies	-	-	-	-	-	-	-
f	Foreign Institutional Investors	-	-	-	-	-	-	-
g	Foreign Venture Capital Investors	-	-	-	-	-	-	-
h	Qualified Foreign Investor	-	-	-	-	-	-	-
i	Any other	-	-	-	-	-	-	-
	Sub Total B(1)	1	307200	307200	7.18	7.18		



(2)	Non-Institutions						N.A.	N.A.
a	Bodies Corporate	27	29627	4527	0.69	0.69		
b	Individuals							
(i)	Individual Shareholders holding Nominal Share Capital upto Rs.1 Lakh	5566	883904	131299	20.66	20.66		
(ii)	Individual Shareholders holding Nominal Share Capital in excess of Rs.1 Lakh	2	53500	0	1.25	1.25		
c	Qualified Foreign Investor							
d	Any other **							
(i)	CORPORATE CM/TM - CLIENT BENEFICAIRY A/C	1	85	85	0.00	0.00		
(ii)	CORPORATE CM/TM - CLIENT MARGIN A/C	1	3484	3484	0.08	0.08		
	Sub Total B(2)	5597	970600	139395	22.69	22.69		
	Total Public Shareholding (B)= (B)(1)+(B)(2)	5598	1277800	446595	29.87	29.87	N.A.	N.A.
	TOTAL (A) + (B)	5603	4278000	3446795	100.00	100.00		
C	<u>Shares held by Custodians and against which Depository Receipts have been issued</u>						N.A.	N.A.
1	Promoter and Promoter Group	-	-	-	-	-		
2	Public	-	-	-	-	-		
	Grand Total (A) + (B) + (C)**	5603	4278000	3446795	100.00	100.00		



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(l) (b)Statement showing holding of Securities (including shares,warrants, convertible securities) of persons belonging to the Category "Promoter and Promoter Group"

Sr.No. (I)	Name of the shareholder (II)	Total Shares held		Shares pledged or otherwise encumbered			Details of Warrants		Details of Convertible Securities		Total Shares(Including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		Number (III)	As a % of grand Total (A)+(B)+(C) (IV)	Number (V)	As a percentage (VI) = (V)/ (III) *100 (III)	As a % of grand total (A)+(B)+(C)) of Sub- clause (I) (a)(VII)	Numar of Warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of converible securites held (X)	As a % total number of convertible securities of the same class (XI)	
1	USHA KRISHNA	937650	21.92	-	-	-					
2	SURESH KRISHNA	687650	16.07	-	-	-					
3	ARUNDATHI KRISHNA	458300	10.71	-	-	-					
4	PREETHI KRISHNA	458300	10.71	-	-	-					
5	ARATHI KRISHNA	458300	10.71	-	-	-					
	Total	3000200	70.13	-	-	-					



(I) (c)(i) Statement showing holding of Securities (including shares, warrants, convertible securities) of persons belonging to the Category "Public" and Holding more than 1 % of the total No. of shares

Sr.No.	Name(s) of the Shareholder(s)	No. of Shares	Shares as a percentage of Total No. of shares [i.e. Grand Total (A)+(B)+(C) indicated in statement at para I(a) above]	Details of Warrants		Details of Convertible Securities		Total Shares (Including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of Warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
1	ORIENTAL BANK OF COMMERCE	307200	7.18					
	Total	307200	7.18					

(I) (c)(ii) Statement showing holding of Securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the Category "Public" and Holding more than 5 % of the total number of shares of the company

Sr.No.	Name(s) of the Shareholder(s) and the Persons Acting in Concert (PAC) with them	No. of Shares	Shares as a percentage of Total No. of shares [i.e. Grand Total (A)+(B)+(C) indicated in statement at para I(a) above]	Details of Warrants		Details of Convertible Securities		Total Shares (Including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of Warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
1	ORIENTAL BANK OF COMMERCE	307200	7.18					
	Total	307200	7.18					



(I) (d) Statement showing details of Locked - in shares

Sr.No.	Name of the shareholder	No. of Locked - in Shares	Locked-in Shares as a(%) percentage of Total No. of shares [I.e. Grand Total (A)+(B)+(C) indicated in statement at para I(a) above]	Promoter/ Promoter Group/ Public
	Nil			
	Total	0	0.00	

(II) (a) Statement showing details of Depository Receipts (DRs)

Sr.No.	Type of Outstanding DR (ADRs/GDRs/SDRs etc.)	No. of Outstanding DRs	No. of shares underlying Outstanding DRs	Shares underlying outstanding DRs as a percentage of Total No. of shares [I.e. Grand Total (A)+(B)+(C) indicated in statement at
	-	-	-	-
	Total	0	0	0

(II) (b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/ promoter group' are in excess of 1 % of the total number of shares

Sr.No.	Name of the DR Holder	Type of Outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of shares underlying Outstanding DRs	Shares underlying outstanding DRs as a percentage of Total No. of shares [I.e. Grand Total (A)+(B)+(C) indicated in statement at para I(a) above]
	-	-	-	-
	Total	0	0	0





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Scrip Code : Name of the Scrip : Class of security:Equity		
Quarter ended: 30/09/2013		
Partly paid-up Shares	No. of Partly paid-up Shares	As a % of total no. of Partly paid-up shares
Held by Promoter/ Promoter group		As a % total no. of shares of the company
Held by Public		
Total		
Outstanding Convertible Securities:		
Held by Promoter/ Promoter group		
Held by Public		
Total		
Warrants:		
Held by Promoter/ Promoter group		
Held by Public		
Total		
Full conversion of warrants and convertible securities		
Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities		