

1. Name of Listed Entity

: UPASANA FINANCE LIMITED

2. Scrip Code/Name of Scrip/Class of Security

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1) (c) : Reg 31(1)(b)

a. If under 31(1)(b) then indicate the report for Quarter ending : 30-06-2016

b. If under 31(1)(c) then indicate date of allotment/extinguishment : NA

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes / No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
(A)	Promoter & Promoter Group	4	3000200	0	0	3000200	70.13	3000200	0	3000200	70.13	0	0.00	0	0	0	0	3000200
(B)	Public	6029	1277800	0	0	1277800	29.87	1277800	0	1277800	29.87	0	0.00	0	30		NA	520108
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0		NA	0
(C1)	Shares Underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	0	0		NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0		NA	0
	Total	6033	4278000	0	0	4278000	100.00	4278000	0	4278000	100.00	0	0.00	0	30	0	0	3520308



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Annexure II - Statement showing shareholding pattern of the Promoter and Promoter Group																				
Sr.	Category & Name of the Share holders (I)	Nos. Of share holders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)
								No of Voting (XIV)			Total as a % of Total Voting rights					No. (a) As a % of total Shares held (b)		No. (a) As a % of total Shares held (b)		
								Class eg: X	Class eg:y	Total										
(1)	Indian																			
a	Individual/Hindu Undivided Family	4	3000200			3000200	70.13	3000200		3000200	70.13				70.13			0	0.00	3000200
	Name																			
b	Central Government/ State Governments																			
	Name																			
c	Financial Institutions / Banks																			
	Name																			
d	Any other (Specify)																			
	Name																			
	Sub Total A(1)	4	3000200			3000200	70.13	3000200		3000200	70.13				70.13			0	0.00	3000200
(2)	Foreign																			
a	Individual (Non resident Individuals / Foreign individuals)																			
	Name																			
b	Government																			
	Name																			
c	Institutions																			
	Name																			
d	Foreign Portfolio Investor																			
	Name																			
e	Any other (Specify)																			
	Name																			
	Sub Total A(2)																			



Total shareholding of Promoter and Promoter Group (A)= (A)(1) +(A)(2)	4	3000200			3000200	70.13	3000200		3000200	70.13				70.13			0	0.00	3000200
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FOLIO	SHPTABLE	SHPTAG	SUBTAG	NAME_1	PANNO1	HOLDERS	SHARES	PART_EQ	DR_SHARE	TOT_SHARE	TOT_PERC	CLASXSHR	CLASYSHR	XYSHR	TXYPERC
IN30154914285612	TABLE II	1	a	USHA KRISHNA	AABPK7189F	1	1395950	0	0	1395950	32.63	1395950	0	1395950	32.63
IN30115112417405	TABLE II	1	a	SURESH KRISHNA	AABPK3154E	1	687650	0	0	687650	16.07	687650	0	687650	16.07
IN30018313393965	TABLE II	1	a	ARUNDATHI KRISHNA	AABPK3094R	1	458300	0	0	458300	10.71	458300	0	458300	10.71
IN30154914285647	TABLE II	1	a	ARATHI KRISHNA	AABPK3095Q	1	458300	0	0	458300	10.71	458300	0	458300	10.71
						4	3000200	0	0	3000200	70.13	3000200	0	3000200	70.13



	Central Government / State Government / President of India																	
(2)	Name																	
	Sub Total	0	0			0	0.00	0		0	0.00				0.00			0
(3)	Non-Institutions																	
a	Individuals																	
	Individual Shareholders holding Nominal Share Capital upto Rs.2	5970	964659			964659	22.55	964659		964659	22.55				22.55			256967
	Individual Shareholders holding Nominal Share Capital in excess of Rs.2 Lakhs	5	132585			132585	3.10	132585		132585	3.10				3.10			107585
	Name																	
b	NBFCs Registered with RBI																	
	Name																	
c	Employee Trusts																	
	Name																	
d	Overseas Depositories (holding DRs) (balancing figure)																	
	Name																	
e	Any other	53	52619			52619	1.23	52619		52619	1.23				1.23			27619
	Name																	
	Sub Total	6028	1149863			1149863	26.88	1149863		1149863	26.88				26.88			392171
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	6029	1277800			1277800	29.87	1277800		1277800	29.87				29.87			520108
	CLEARING ME	7	6615			6615	0.15	6615		6615	0.15				0.15			6615
	DOMESTIC BO	42	44033			44033	1.03	44033		44033	1.03				1.03			19033
	MARGIN TRAC	4	1971			1971	0.05	1971		1971	0.05				0.05			1971
	Total	53	52619			52619	1.23	52619		52619	1.23				1.23			27619



Table III - Statement showing shareholding pattern of the Public shareholder																				
Sr.	Category & Name of the Share holders (I)	Nos. Of share holder s (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)
								No of Voting (XIV)			Total as a % of Total Voting rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg:y	Total										
																NA				
(1)	Institutions																			
a	Mutual Funds/UTI																			
	Name																			
b	Venture capital Funds																			
	Name																			
c	Alternate Investment Funds																			
	Name																			
d	Foreign Venture Capital Investors																			
	Name																			
e	Foreign Portfolio Investors																			
	Name																			
f	Financial Institutions / Banks	1	127937			127937	2.99	127937		127937	2.99				2.99					127937
	Name																			
g	Insurance Companies																			
	Name																			
h	Provident Funds / Pension																			
	Name																			
i	Any other (Specify) - Foreign																			
	Name																			
	Sub Total	1	127937			127937	2.99	127937		127937	2.99				2.99					127937



Serial No.	Name of the PAC	PAN No.	Number of shares	Percentage of shareholding by PAC
NIL				



Table III - Statement showing shareholding pattern of the Public shareholder

Table III - Statement showing shareholding pattern of the Public shareholder																					
	Category and Name of the Shareholders [I]	Name of the Shareholders [I]	PAN [II]	No. of fully paid up equity shares held [IV]	No. Of Partly paid up equity shares held [V]	No. Of shares underlying Depository Receipts [VI]	Total nos. shares held [VII] = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) [VIII] As a % of (A+B+C2)	Number of Voting Rights held in each class of securities [IX]			No. Of Shares Underlying Outstanding convertible securities [X]	No. Of Warrants [XI]	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants [XII] (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) [XII] = (VII)+(XII)(a) As a % of (A+B+C2)	Number of Locked in shares [XIII]		Number of Shares pledged or otherwise encumbered [XIII]		Number of equity shares held in dematerialized form [XIV]	
									No of Voting (XIV) Rights							Total as a % of Total Voting rights	No. (a)	As a % of total Shares held [b]	No. (a)		As a % of total Shares held [b]
									Class eg: X	Class eg: Y	Total										
(1)	Institutions																				
a	Mutual Funds/UTIs																				
	Name																				
	Name																				
	Financial Institutions / Banks																				
f																					
	Name	ORIENTAL BANK OF COMMERCE	AAACD0191M	127937			127937	2.99	127937		127937	2.99			2.99						127937
	Insurance Companies																				
	Name																				
	Name																				
(3)	Non-Institutions																				
a	Individuals																				
	Individual Shareholders holding Nominal Share Capital in excess of Rs.2 Lakhs																				
	Name																				



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of Shareholders	No of Shares held
NIL	

