

Name of the Company : M/s UPASANA FINANCE LIMITED

Scrip Code - , Name of the Scrip - , Class of Security :Equity Shares

Quarter ended: 30/09/2014

Category Code	Category of Shareholder	No. of Share holders	Total No. of shares	No. of shares held in Dematerialised Form	Total No. of shareholding as a percentage of Total No. of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	No. of Shares (VIII)	As a percentage of (IX=VIII / IV)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
<b>A</b>	<b>SHAREHOLDING OF PROMOTER AND PROMOTER GROUP</b>							
(1)	Indian							
a	Individual/Hindu Undivided Family	4	3000200	3000200	70.13	70.13	-	-
b	Central Government/ State Governments	-	-	-	-	-	-	-
c	Bodies Corporate	-	-	-	-	-	-	-
d	Financial Institutions / Banks	-	-	-	-	-	-	-
e	Any other (Specify) - INDIAN PROMOTERS - OTHERS	-	-	-	-	-	-	-
	<b>Sub Total A(1)</b>	<b>4</b>	<b>3000200</b>	<b>3000200</b>	<b>70.13</b>	<b>70.13</b>	-	-
(2)	Foreign							
a	Individual (Non resident Individuals / Foreign individuals)	-	-	-	-	-	-	-
b	Bodies Corporate	-	-	-	-	-	-	-
c	Institutions	-	-	-	-	-	-	-
d	Qualified Foreign Investor	-	-	-	-	-	-	-
e	Any other (Specify)	-	-	-	-	-	-	-
	<b>Sub Total A(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	-	-
	<b>Total shareholding of Promoter and Promoter Group (A)= (A)(1) +(A)(2)</b>	<b>4</b>	<b>3000200</b>	<b>3000200</b>	<b>70.13</b>	<b>70.13</b>	-	-
<b>B</b>	<b>Public Shareholding</b>						<b>N.A.</b>	<b>N.A.</b>
(1)	Institutions						<b>N.A.</b>	<b>N.A.</b>
a	Mutual Funds/ UTI	-	-	-	-	-	-	-
b	Financial Institutions / Banks	1	252000	252000	5.89	5.89	-	-
c	Central Government/ State Governments	-	-	-	-	-	-	-
d	Venture capital Funds	-	-	-	-	-	-	-
e	Insurance Companies	-	-	-	-	-	-	-
f	Foreign Institutional Investors	-	-	-	-	-	-	-
g	Foreign Venture Capital Investors	-	-	-	-	-	-	-
h	Qualified Foreign Investor	-	-	-	-	-	-	-



i	Any other	-	-	-	-	-		
	Sub Total B(1)	1	252000	252000	5.89	5.89		
(2)	<b>Non-Institutions</b>						N.A.	N.A.
a	Bodies Corporate	31	36120	11020	0.84	0.84		
b	Individuals							
(i)	Individual Shareholders holding Nominal Share Capital upto Rs.1 Lakh	5724	900853	160579	21.06	21.06		
(ii)	Individual Shareholders holding Nominal Share Capital in excess of Rs.1 Lakh	4	88025	63025	2.06	2.06		
c	Qualified Foreign Investor							
d	<b>Any other **</b>							
(i)	CLEARING MEMBER	1	100	100	0.00	0.00		
(ii)	CORPORATE CM/TM - CLIENT BENEFICAIURY A/C	3	702	702	0.02	0.02		
	Sub Total B(2)	5763	1025800	235426	23.98	23.98		
	Total Public Shareholding (B)= (B)(1)+(B)(2)	5764	1277800	487426	29.87	29.87	N.A.	N.A.
	<b>TOTAL (A) + (B)</b>	5768	4278000	3487626	100.00	100.00		
C	<u>Shares held by Custodians and against which Depository Receipts have been issued</u>						N.A.	N.A.
1	Promoter and Promoter Group	-	-	-	-	-		
2	Public	-	-	-	-	-		
	<b>Grand Total (A) + (B) + (C)**</b>	5768	4278000	3487626	100.00	100.00		



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Quarter ended: 30/09/2014

(I) (b) Statement showing holding of Securities (including shares, warrants, convertible securities) of persons belonging to the Category "Promoter and Promoter Group"

Sr.No. (I)	Name of the shareholder (II)	Total Shares held		Shares pledged or otherwise encumbered			Details of Warrants		Details of Convertible Securities		Total Shares(Including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number (III)	As a % of grand Total (A)+(B)+(C) (IV)	Number (V)	As a percentage (VI) = (V) / (III) *100	As a % of grand total (A)+(B)+(C) of Sub-clause (I) (a)(VII)	Number of Warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	(XII)
1	USHA KRISHNA	1395950	32.63	-	-	-					
2	SURESH KRISHNA	687600	16.07	-	-	-					
3	ARUNDATHI KRISHNA	458300	10.71	-	-	-					
4	ARATHI KRISHNA	458300	10.71	-	-	-					
	Total	3000200	70.13	-	-	-					



(i) (c) (i) Statement showing holding of Securities (including shares, warrants, convertible securities) of persons belonging to the Category "Public" and Holding more than 1 % of the total No. of shares								
Sr.No.	Name(s) of the Shareholder(s)	No. of Shares	Shares as a percentage of Total No. of shares [i.e. Grand Total (A)+(B)+(C) indicated in statement at para I(a) above]	Details of Warrants		Details of Convertible Securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of Warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
1	ORIENTAL BANK OF COMMERCE	252000	5.89					
	Total	252000	5.89					
(i) (c) (ii) Statement showing holding of Securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the Category "Public" and Holding more than 5 % of the total number of shares of the company								
Sr.No.	Name(s) of the Shareholder(s) and the Persons Acting in Concert (PAC) with them	No. of Shares	Shares as a percentage of Total No. of shares [i.e. Grand Total (A)+(B)+(C) indicated in statement at para I(a) above]	Details of Warrants		Details of Convertible Securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of Warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
1	ORIENTAL BANK OF COMMERCE	252000	5.89					
	Total	252000	5.89					



## (I) (d) Statement showing details of Locked - in shares

Sr.No.	Name of the shareholder	No. of Locked - in Shares	Locked-in Shares as a (%) percentage of Total No. of shares [i.e. Grand Total (A)+(B)+(C) indicated in statement at para (a) above]	Promoter/ Promoter Group/ Public
	Nil			
	Total	0	0.00	

## (II) (a) Statement showing details of Depository Receipts (DRs)

Sr.No.	Type of Outstanding DR (ADRs/GDRs/SDRs etc.)	No. of Outstanding DRs	No. of shares underlying Outstanding DRs	Shares underlying outstanding DRs as a percentage of Total No. of shares [i.e. Grand Total (A)+(B)+(C) indicated in statement at
		-	-	-
	Total	0	0	0

## (II) (b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/ promoter group' are in excess of 1% of the total number of shares

Sr.No.	Name of the DR Holder	Type of Outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of shares underlying Outstanding DRs	Shares underlying outstanding DRs as a percentage of Total No. of shares [i.e. Grand Total (A)+(B)+(C) indicated in statement at para (a) above]
			-	-
	Total		0	0



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Scrip Code		; Name of the Scrip:	Class of security:Equity
Quarter ended: 30/09/2014			
Partly paid-up Shares	No. of Partly paid-up Shares	As a % of total no. of Partly paid-up shares	As a % total no. of shares of the company
Held by Promoter/ Promoter group			
Held by Public			
<b>Total</b>			
Outstanding Convertible Securities:			
Held by Promoter/ Promoter group		<b>- Nil -</b>	
Held by Public			
<b>Total</b>			
Warrants:			
Held by Promoter/ Promoter group			
Held by Public			
<b>Total</b>			
Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities			

